

CIPFA Knowledge and Skills Self Assessment



Your response deadline is 04/04/2025. Send reminder to people who have not responded.

[Remind them](#)

7

Responses

12:35

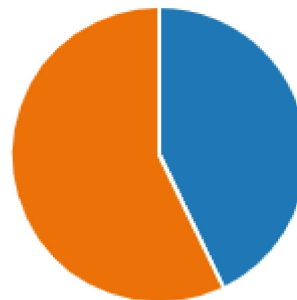
Average time to complete

Active




Status

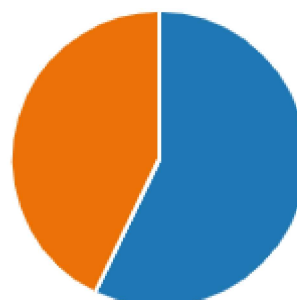
1. I am aware of the CIPFA Audit Committee practical guidance 2022

 Yes	3
 No	4



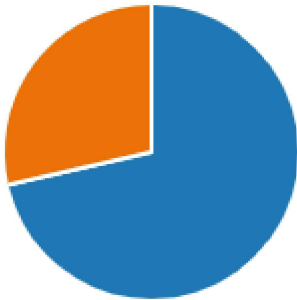
2. I have an overview of the governance structures of the authority and decision making processes.

 Full	4
 Some	3
 No Knowledge	0



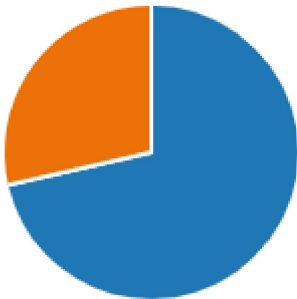
3. I have knowledge of the organisational objectives and major functions of the authority

● Full	5
● Some	2
● No knowledge	0



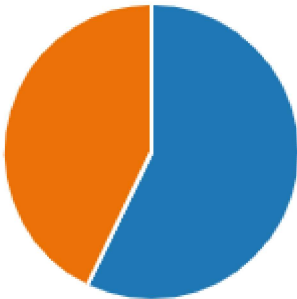
4. I have an understanding of the Standards and General Purposes committee's role and place within the governance structures

● Full	5
● Some	2
● No knowledge	0



5. I have familiarity with the committee's terms of reference and accountability arrangements

● Full	4
● Some	3
● No knowledge	0



6. I have knowledge of the purpose and role of the Standards and General Purposes Committee

● Full	6
● Some	1
● No knowledge	0



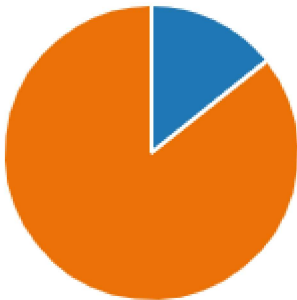
7. I have knowledge of the seven principles of the CIPFA/SOLACE Good Governance Framework and the requirements of the Annual Governance Statement (AGS)

● Full	1
● Some	5
● No knowledge	1



8. I have an awareness of the key principles of the the Public Sector Internal Audit Standards. I have knowledge of the arrangements for the delivery of the internal audit service and how the role of the Head of Internal Audit is fulfilled.

● Full	1
● Some	6
● No knowledge	0



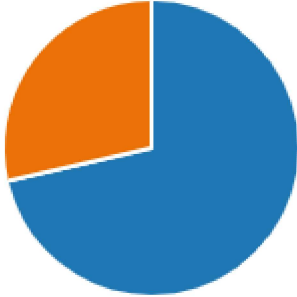
9. I have an awareness of the financial statements that a local authority must produce and the principles it must follow to produce them.

● Full	4
● Some	3
● No knowledge	0



10. I have an understanding of the financial risks the Council face

● Yes	5
● No	2



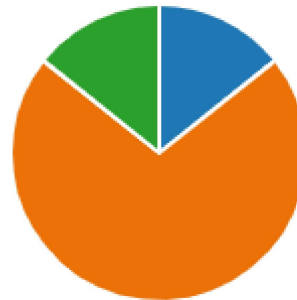
11. I have an understanding of good financial management principles

● Full	4
● Some	3
● No knowledge	0



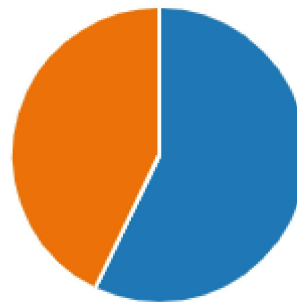
12. I have knowledge of how the organisation meets the requirements of the role of the Chief Financial Officer, as required by the CIPFA statement on the Role of the Chief Financial Officer in Local Government

● Full	1
● Some	5
● No knowledge	1



13. I have knowledge of the role and functions of the external auditor and who currently undertakes this role.

● Full	4
● Some	3
● No Knowledge	0



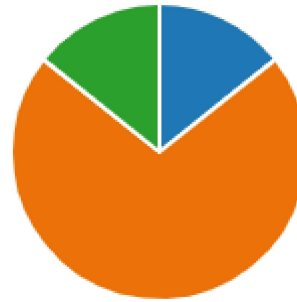
14. I have knowledge of the key reports and assurances that external audit will provide

● Full	2
● Some	4
● No knowledge	0



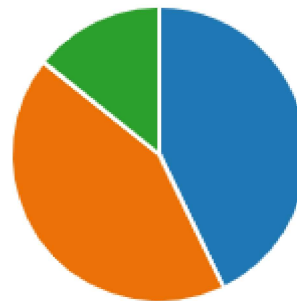
15. I have knowledge about arrangements for the appointment of auditors and quality monitoring undertaken

● Full	1
● Some	5
● No knowledge	1



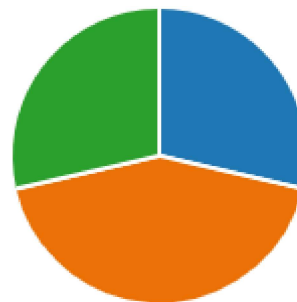
16. I have an understanding of the principles of risk management, including linkages to good governance and decision making

● Full	3
● Some	3
● No knowledge	1



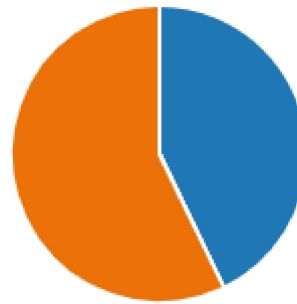
17. I have knowledge of the risk management policy and strategy of the organisation

● Full	2
● Some	3
● No knowledge	2



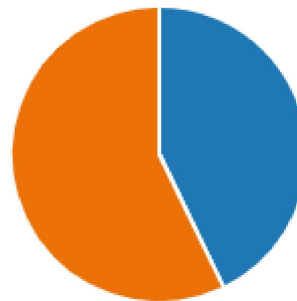
18. I have an understanding of risk governance arrangements, including the role of members of the Standards and General Purposes Committee

● Full	3
● Some	4
● No knowledge	0



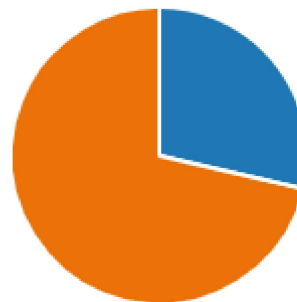
19. I have knowledge of the current risk maturity of the Council and any key areas of improvement

● Yes	3
● No	4



20. I have an understanding of the main areas of fraud and corruption risk the Council is exposed to

● Full	2
● Some	5
● No knowledge	0



21. I have knowledge of the principles of good fraud risk management practice (CIFPA Code of Practice on managing the risk of fraud and Corruption 2014)

● Full	1
● Some	5
● No knowledge	1



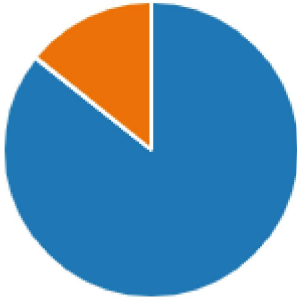
22. I have knowledge of the Councils arrangements for tackling fraud

● Yes	6
● No	1



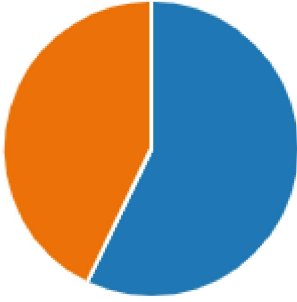
23. I have knowledge of the Seven Principles of Public Life

● Yes	6
● No	1

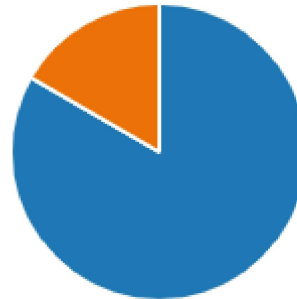


24. I have knowledge of the Councils key arrangements to uphold ethical standards for members (I.e Code of Conduct)

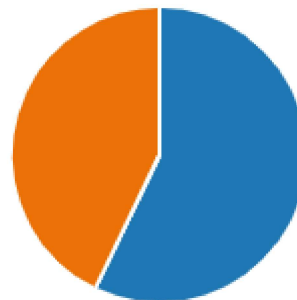
● Full	4
● Some	3
● No knowledge	0



25. I have knowledge of the Whistleblowing arrangements in the Council



26. I understand that effective scrutiny of Treasury Management is important and am familiar with the key knowledge areas which are regulatory requirements, treasury risks, treasury management strategy and policies and procedures in relation to treasury management



27. Do you have any skills/experience in the following areas: accountancy, internal audit, risk management, governance, legal, IT system and security, expert service knowledge relevant to the Council (provide details below)

7
Responses

Latest Responses

- "Previous experience as a charity trustee exposed me to risk ..."*
- "I have some experience as an Auditor in my early working lif..."*
- "experience in legal, some in IT system, and some risk manag..."*

28. How many years experience do you have sitting on an Audit Committee? (can you add details on number of years and whether local authority)

7
Responses

Latest Responses

- "Nothing prior to joining Merton Council."*
- "1 year on London Borough of Merton's committee"*
- " i have spent over 10 years in an audit committee in the loc..."*

29. Would you like additional training to be provided, if so, what should this cover?

7
Responses

Latest Responses

"This is hard to answer as a number of the areas of responsib...

"none"

"yes"

30. Do you have any other comments?

7
Responses

Latest Responses

"N/A"

"Not at this stage"

"none"
